Please type a plus sign (+) in this box →[+]

UTILITY PATENT APPLICATION TRANSMITTAL	**Att rney D ck t:** 1363D/DA01036-D
	Lead Invent r: Fang, et al.
	Titl: Flash Memory Device and a Method of Fabrication Thereof
For non-provisional application under 37 CFR 1.53(b)	**Express Mail No.:** EL815289625US

APPLICATION ELEMENTS	
	Address to: **Assistant Commissioner for PATENTS** **Box Patent Applications** **Washington, D.C. 20231**

1. [X] Fee Transmittal Form PTO/SB/17

2. [X] Specification: Seven (7) pages;

   [X] Claims: Three (3) pages;

   [X] Abstract: One (1) page

3. [X] Drawings: Four (4) sheets;

4. [X] Declaration by Inventors: Three (3) pages;

   a. [ ] Newly executed (original or copy)

   b. [X] Copy from Prior Application: 37 CFR 1.63(d)(2)
      (for Continuation/Divisional: Box 16 completed below)

      i. [ ] <u>Deletion of Inventor(s)</u>
        Signed statement attached deleting inventor(s) named
        in Prior Application - 37 CFR 1.63(d)(2) and 1.33(b)

5. [ ] Microfiche Computer Program (*Appendix*)

6. [ ] Nucleotide and/or Amino Acid Sequence Submission
   (*if applicable, all necessary*)

   a. [ ] Computer Readable Copy

   b. [ ] Paper Copy (identical to computer copy)

   c. [ ] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. [ ] Assignment and Recordation Cover

8. [ ] Statement by Assignee (37 CFR 3.73(b)

   [ ] Power of Attorney

9. [ ] English Translation (*if applicable*)

10. [ ] Information Disclosure Statement

   [ ] PTO Form 1449

   [ ] References

11. [X] Preliminary Amendment

12. [X] Return Receipt Postcard (*itemized*)

13. [ ] Small Entity Status Declaration

   [ ] Statement filed in Prior Application,

   status still proper and desired

14. [ ] Certified Copy of Priority Document(s)

15. Other: <u>Petition for Extension of Time;</u>
       <u>Fee Payment for Extension of Time.</u>

16. <u>Request and Ceritifcation Under 35 USC</u>
    <u>122(b)(2)(B)(i) (for Non-Publication)</u>

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16. Continuing Application (*check appropriate box and supply requisite information below and in a Preliminary Amendment*) :
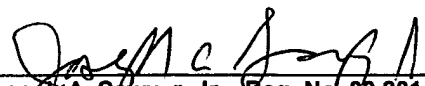
[ ] Continuation   [X] Divisional   [ ] Continuation-in-Part (CIP)   of Prior Application No: <u>09/595,422</u>

Prior Application information: Examiner **Booth, R.**   Group Art Unit: **2812**   Filing Date: **June 15, 2000**

For CONTINUATION or DIVISIONAL application only: *The entire disclosure of the prior application, from which an Oath or Declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.*

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17. CORRESPONDENCE ADDRESS

Firm: **SAWYER LAW GROUP LLP**	Telephone: **(650) 493-4540**
Address: **P.O. Box 51418**	Facsimile: **(650) 493-4549**
City/State/Zip: **Palo Alt , California 94303**	

Joseph A. Sawy r, Jr., Reg. No. 30,801
Attorney for Applicant(s)

8/28/01
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PTO/SB/17 (2/98)
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Patent and Trademark Office: U.S. DEPARTMETN OF COMMERCE
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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1999.

Small Entity payments __must__ be supported by a Small Entity Statement, otherwise, large entity fees must be paid. See Forms PCT/SB/m9-12. See 37 CFR 1.27 and 1.28.

Serial No.:	*To be assigned*
Filing Date:	**August 28, 2001**
Inventor:	**Fang, et al.**
Examiner:	*To be assigned*
Group Art Unit:	*To be assigned*

TOTAL AMOUNT OF PAYMENT	$710 .00	Attorney Docket:	DA01036-D/1363D

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge all fees and credit any overpayment associated with this communication to:

Deposit Account No: __01-0365__

Name: **AMD Corporation**

[X] Charge any additional fees required under 37 CFR 1.16 and 1.17.

2. [X] Payment Enclosed: for Ext of Time only

[X] Check No 3440 [ ] Money Order [ ] Other

FEE CALCULATION

1. Basic Filing Fee:

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Description	Fee Paid
101	710.00	201	35.00	Utility filing fee	__710.00__
106	320.00	206	160.00	Design filing fee	_____
107	490.00	207	245.00	Plant filing fee	_____
108	710.00	208	355.00	Reissue filing fee	_____
114	150.00	214	75.00	Provisional filing	_____

SUBTOTAL (1) **$ 710.00**

2. EXTRA CLAIMS FEES

Total Claims	Extra Claims	Fee	Fee Paid
7 - 20 =	0	x 18.00 =	$ 0.00

Independent Claims

2 - 3 =	0	x 80.00 =	$ 00.00

SUBTOTAL (2) **$ 00.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

FEE DESCRIPTION	Fee Paid
Surcharge late filing of fee or oath	
Surcharge late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for reexamination	
Requesting publication or SIR prior to Examiner Action	
Extension for reply within first month	
Extension for reply within second month	$390.00
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive - unavoidable	
Petition to revive - unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Petitions to the Commissioner	
Petitions related to provisional applications	
Submissions of Information Disclosure Statement	
Recording of each patent assignment per property (times number of properties)	
Filing submission after final rejection (37 CFR 1.129(a))	
For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify): _____	
Other fee (specify): _____	

SUBMITTED BY

Joseph A. Sawyer, Jr., Reg. No. 30,801
Attorney for Applicant(s)

8/20/01
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